

EXHIBIT E



Activity Statement

January 1, 2018 - December 31, 2018

[Help](#)

Interactive Brokers LLC, Two Pickwick Plaza, Greenwich, CT 06830

Account Information

Name	MintBroker International, Ltd
Account	US1043812
Master Name	MintBroker International, Ltd
Account Type	Broker Client
Customer Type	Corporate
Account Capabilities	Margin
Base Currency	USD

Net Asset Value

	December 31, 2017		December 31, 2018		Change in NAV		Total
	Total		Long	Short	Total	Change	
Cash	3,408,666.13		611,977.10	-1,634,827.02	-1,022,849.92	-4,431,516.05	-873,653.28
Broker Cash	3,408,666.13		611,975.67	-1,634,827.02	-1,022,851.35	-4,431,517.48	-1,893,592.00
Insured Bank Deposit Sweep Program	0.00		1.43	0.00	1.43	1.43	-222,677,328.00
Stock	-4,043,474.31		310,950.00	0.00	310,950.00	4,354,424.31	225,144,238.62
Options	-238,845.10		981.58	0.00	981.58	239,826.68	-192,727.29
Dividend Accruals	0.00		28.86	-1.13	27.73	27.73	-0.72
Total	-873,653.28		923,937.54	-1,634,828.15	-710,890.61	162,762.67	27.73
Time Weighted Rate of Return						-163.16%	
							Change in Dividend Accruals
							Interest
							Other Fees
							Commissions
							Ending Value

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Transaction		Other	Total	Code
	Prior	Current	Prior	Current		Commissions	Commissions			
Stocks										
AA	0	0	--	--	-171.30	1,375.01	-21.57	0.00	1,182.14	
	0	0	--	--	0.00	6.04	-0.90	0.00	5.13	
	0	0	--	--	-31.30	-56.58	-9.42	-1.00	-98.30	
	0	0	--	--	-2,329,438.99	-468,532.16	-3,019.57	-130,591.89	-2,931,582.61	
	0	0	--	--	0.00	5,271.30	-20.82	0.00	5,250.48	
	0	0	--	--	19.20	-24.32	-4.96	0.00	-10.08	

EXHIBIT
0019

Printed: 2019-01-21, 07:58:49 EST

Page: 1

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L			Other	Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions				
FIT	0	0	--	--	-43,535.72	-1,082.58	-1,582.33	0.00	-46,200.63		
FIVE	0	0	--	--	-41,596.77	21,195.29	-115.46	0.00	-20,516.94		
FLEX	0	0	--	--	0.00	-20.76	-3.54	0.00	-24.30		
FLO	0	0	--	--	0.00	31.76	-1.25	0.00	30.51		
FOMX	0	0	--	--	15.00	33.22	-2.66	0.00	45.56		
FORD	0	0	--	--	23,810.52	141,811.36	-601.29	0.00	165,020.59		
FOSL	0	0	--	--	-11,489.56	2,391.16	-24.25	0.00	-9,122.65		
FOX	0	0	--	--	0.00	-186.11	-10.88	0.00	-196.99		
FOXA	0	0	--	--	0.00	17.37	-11.02	0.00	6.35		
FSLR	0	0	--	--	4,987.50	-1,227.50	-2.66	0.00	3,757.34		
GBR	0	0	--	--	-68,214.16	125,315.93	-102.66	0.00	56,999.11		
	0	0	--	--	0.00	80.78	-2.86	0.00	77.92		
GBT	0	0	--	--	-972.00	-567.00	-0.61	0.00	-1,539.61		
GDS	0	0	--	--	-20.80	13.00	-1.39	0.00	-9.19		
GDX	0	0	--	--	-223,909.20	-419,799.81	-14,429.99	0.00	-658,139.00		
GE	0	0	--	--	0.00	196.76	-0.93	0.00	195.84		
GEMP	0	0	--	--	37.44	2,955.92	-42.57	0.00	2,950.78		
GERN	0	0	--	--	-479,570.81	12,379.65	-984.16	-37,445.85	-505,621.17		
GES	0	0	--	--	12,037.12	-44,674.41	-25.67	0.00	-32,662.96		
GEVO	0	0	--	--	0.00	-18.45	-4.95	0.00	-23.40		
GG	0	0	--	--	-25.90	0.76	-3.62	0.00	-28.76		
GGB	0	0	--	--	0.00	-51.88	-1.52	0.00	-53.40		
GH	0	0	--	--	0.00	-129.80	-4.37	0.00	-134.17		
GILD	0	0	--	--	0.17	1,371.71	-27.88	0.00	1,344.00		
GLUU	0	0	--	--	0.60	-813.21	-37.57	0.00	-850.18		
GLYC	0	0	--	--	0.00	-428.58	-18.10	0.00	-446.68		
GM	0	0	--	--	-6,803.98	9,301.57	-1,088.91	0.00	1,408.68		
GME	0	0	--	--	14,666.26	-13.12	-0.39	0.00	14,652.74		
GNC	0	0	--	--	-5,179.00	-1,131.73	-27.18	0.00	-6,337.91		
GNE	0	0	--	--	16.48	-16.48	-0.66	0.00	-0.66		
GOGO	0	0	--	--	100,442.32	39,725.64	-1,216.63	0.00	138,951.33		
GOOG	0	0	--	--	-25,022.15	31,315.00	-25.33	0.00	6,267.53		
GOOS	0	0	--	--	-5,859.00	-19,289.30	-113.85	0.00	-25,262.16		
GPK	0	0	--	--	-8.80	-0.90	-1.70	0.00	-11.40		
GPOR	0	0	--	--	0.00	-16.62	-0.55	0.00	-17.17		
GPRO	0	0	--	--	9,616.46	-37,589.90	-4,289.31	0.00	-32,262.76		
GRPN	0	0	--	--	10.00	80.00	-3.73	0.00	86.27		
GS	0	0	--	--	2,388.00	1,224.00	0.00	0.00	3,612.00		
GSKY	0	0	--	--	20,085.48	-18,960.48	-234.04	0.00	890.96		
GTXI	0	0	--	--	-22.00	13.20	-0.70	0.00	-9.50		
HAL	0	0	--	--	0.00	-47.49	-4.69	0.00	-52.18		
HAS	0	0	--	--	-3.00	-688.28	-2.16	0.00	-693.44		
HBAN	0	0	--	--	182.30	-15.23	-9.67	0.00	157.40		
HBM	0	0	--	--	0.00	0.00	-0.77	0.00	-0.77		
ICHC	0	0	--	--	0.00	-89.44	-6.28	0.00	-95.72		

Mark-to-Market Performance Summary

Symbol	Prior	Quantity		Prior	Price		Position	Mark-to-Market P/L			Other	Total	Code
		Current	Current		Current	Current		Transaction	Commissions				
WWE 17AUG18 75.0 P	0	0	0	--	--	--	6,517.80	-217.80	-46.78	0.00	0.00	6,253.22	
WWE 17AUG18 80.0 C	0	0	0	--	--	--	-9,167.70	-2,112.30	2.07	0.00	0.00	-11,277.93	
X 09MAR18 47.0 C	0	0	0	--	--	0.00	0.00	3,600.00	-82.65	0.00	0.00	3,517.35	
X 29MAR18 33.0 P	0	0	0	--	--	656.60	-496.60	-10.68	-10.68	0.00	0.00	149.32	
X 20APR18 30.0 P	0	0	0	--	--	450.40	-210.40	-1.57	-1.57	0.00	0.00	238.43	
XOM 27APR18 78.0 P	0	0	0	--	--	-192.00	64.00	-26.89	-26.89	0.00	0.00	-154.89	
Total							3,262,074.06	2,035,995.04	-55,731.88	0.00	0.00	5,242,337.22	
Forex													
CAD	0.00	834,677.36	--	0.73319	-67,584.99	0.00	0.00	0.00	0.00	0.00	0.00	-67,584.99	
JPY	0.00	0.00	--	0.009124	-0.04	3.72	-4.00	3.72	-4.00	0.00	0.00	-0.32	
USD	3,408,666.13	-1,634,827.02	1.0000	1.0000	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Total					-67,585.03	3.72	-4.00	3.72	-4.00	0.00	0.00	-67,585.31	
Total (All Assets)					2,322,671.72	-4,216,263.72	-224,798.24	-192,728.01	-2,311,118.26	6,943.07	-0.49	-2,304,175.68	
Broker Interest Paid and Received													
Other Fees													
Total P/L for Statement Period													

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	S/T Profit	S/T Loss	Realized		Total	S/T Profit	S/T Loss	Unrealized		Total	Total	Code
				L/T Profit	L/T Loss				L/T Profit	L/T Loss			
Stocks													
AA	0.00	1,703.02	-56.15	0.00	0.00	1,646.87	0.00	0.00	0.00	0.00	0.00	1,646.87	
AABA	0.00	6.23	-1.10	0.00	0.00	5.13	0.00	0.00	0.00	0.00	0.00	5.13	
AAL	0.00	16.26	-114.49	0.00	0.00	-98.23	0.00	0.00	0.00	0.00	0.00	-98.23	
AAPL	0.00	130,818.00	-2,861,261.08	0.00	0.00	-2,730,443.08	0.00	0.00	0.00	0.00	0.00	-2,730,443.08	
AAWW	0.00	5,250.48	0.00	0.00	0.00	5,250.48	0.00	0.00	0.00	0.00	0.00	5,250.48	
ABEV	0.00	20.41	-30.47	0.00	0.00	-10.06	0.00	0.00	0.00	0.00	0.00	-10.06	
ABIL	0.00	1,060.90	-19,575.32	0.00	0.00	-18,514.42	0.00	0.00	0.00	0.00	0.00	-18,514.42	
ABT	0.00	5.96	-3.34	0.00	0.00	2.62	0.00	0.00	0.00	0.00	0.00	2.62	
ABX	0.00	24.18	-48.42	0.00	0.00	-24.24	0.00	0.00	0.00	0.00	0.00	-24.24	
ACAD	0.00	0.00	-1.09	0.00	0.00	-1.09	0.00	0.00	0.00	0.00	0.00	-1.09	
ACB	0.00	23,511.45	-3,084.50	0.00	0.00	20,426.94	0.00	0.00	0.00	0.00	0.00	20,426.94	
ACB	0.00	837.98	-1,053.27	0.00	0.00	-215.30	0.00	0.00	0.00	0.00	0.00	-215.30	
ACHC	0.00	338.71	-632.30	0.00	0.00	-293.59	0.00	0.00	0.00	0.00	0.00	-293.59	
ACHV	0.00	868.93	-1,995.87	0.00	0.00	-1,126.93	0.00	0.00	0.00	0.00	0.00	-1,126.93	
ACRX	0.00	0.00	-5,965.84	0.00	0.00	-5,965.84	0.00	0.00	0.00	0.00	0.00	-5,965.84	
ADIL	0.00	372.41	0.00	0.00	0.00	372.41	0.00	0.00	0.00	0.00	0.00	372.41	
ADMS	0.00	0.00	-83.17	0.00	0.00	-83.17	0.00	0.00	0.00	0.00	0.00	-83.17	
AEM	0.00	0.00	-87.64	0.00	0.00	-87.64	0.00	0.00	0.00	0.00	0.00	-87.64	
AEMD	0.00	2,038.70	-76.51	0.00	0.00	1,962.19	0.00	0.00	0.00	0.00	0.00	1,962.19	
AETI	0.00	444.51	-15.67	0.00	0.00	428.84	0.00	0.00	0.00	0.00	0.00	428.84	
AEZS	0.00	21,200.39	0.00	0.00	0.00	21,200.39	0.00	0.00	0.00	0.00	0.00	21,200.39	
AGNC	0.00	10.59	-9.80	0.00	0.00	0.79	0.00	0.00	0.00	0.00	0.00	0.79	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	S/T Profit	S/T Loss	Realized		Total	Unrealized				Total	Code
				L/T Profit	L/T Loss		S/T Profit	S/T Loss	L/T Profit	L/T Loss		
DUK	0.00	81.76	-74.64	0.00	0.00	7.12	0.00	0.00	0.00	0.00	7.12	
DVN	0.00	17.63	-35.43	0.00	0.00	-17.80	0.00	0.00	0.00	0.00	-17.80	
DWDP	0.00	1,198.23	-75.09	0.00	0.00	1,123.14	0.00	0.00	0.00	0.00	1,123.14	
DXPE	0.00	293.26	-11,031.96	0.00	0.00	-10,738.70	0.00	0.00	0.00	0.00	-10,738.70	
DXR	0.00	1,567.33	-36.79	0.00	0.00	1,530.54	0.00	0.00	0.00	0.00	1,530.54	
EA	0.00	522.07	0.00	0.00	0.00	522.07	0.00	0.00	0.00	0.00	522.07	
EARS	0.00	32.85	0.00	0.00	0.00	32.85	0.00	0.00	0.00	0.00	32.85	
EBAY	0.00	140.59	-214.89	0.00	0.00	-74.30	0.00	0.00	0.00	0.00	-74.30	
ECA	0.00	116.98	-72.45	0.00	0.00	44.53	0.00	0.00	0.00	0.00	44.53	
ECYT	0.00	172,074.08	-2,671,583.42	0.00	0.00	-2,499,509.34	0.00	0.00	0.00	0.00	-2,499,509.34	
EDAP	0.00	137.76	0.00	0.00	0.00	137.76	0.00	0.00	0.00	0.00	137.76	
EE	0.00	75.23	0.00	0.00	0.00	75.23	0.00	0.00	0.00	0.00	75.23	
EL TK	0.00	0.00	-170.49	0.00	0.00	-170.49	0.00	0.00	0.00	0.00	-170.49	
ENPH	0.00	146.47	-59.92	0.00	0.00	86.55	0.00	0.00	0.00	0.00	86.55	
EQT	0.00	120.46	-114.54	0.00	0.00	5.92	0.00	0.00	0.00	0.00	5.92	
ERIC	0.00	0.04	0.00	0.00	0.00	0.04	0.00	0.00	0.00	0.00	0.04	
ERX	0.00	0.00	-14.38	0.00	0.00	-14.38	0.00	0.00	0.00	0.00	-14.38	
ESV	0.00	68.87	-67.07	0.00	0.00	1.79	0.00	0.00	0.00	0.00	1.79	
ETE	0.00	297.62	-0.17	0.00	0.00	297.45	0.00	0.00	0.00	0.00	297.45	
ETP	0.00	2,738.39	0.00	0.00	0.00	2,738.39	0.00	0.00	0.00	0.00	2,738.39	
ETSY	0.00	34.60	-479.22	0.00	0.00	-444.62	0.00	0.00	0.00	0.00	-444.62	
EXAS	0.00	147,612.64	-399,550.43	0.00	0.00	-251,937.79	0.00	0.00	0.00	0.00	-251,937.79	
EXC	0.00	4.68	-8.90	0.00	0.00	32.78	0.00	0.00	0.00	0.00	32.78	
F	0.00	76.83	-97.81	0.00	0.00	-20.98	0.00	0.00	0.00	0.00	-20.98	
FAS	0.00	199.04	-33.14	0.00	0.00	165.90	0.00	0.00	0.00	0.00	165.90	
FB	0.00	253,731.04	-196,933.49	0.00	0.00	56,797.55	0.00	0.00	0.00	0.00	56,797.55	
FCX	0.00	356.00	-462.14	0.00	0.00	-106.14	0.00	0.00	0.00	0.00	-106.14	
FDC	0.00	44.79	-43.90	0.00	0.00	0.89	0.00	0.00	0.00	0.00	0.89	
FEYE	0.00	755.25	-64.25	0.00	0.00	690.99	0.00	0.00	0.00	0.00	690.99	
FIT	0.00	49,354.08	-51,785.14	0.00	0.00	-2,431.06	0.00	0.00	0.00	0.00	-2,431.06	
FIVE	0.00	5,678.74	-26,195.69	0.00	0.00	-20,516.94	0.00	0.00	0.00	0.00	-20,516.94	
FLEX	0.00	7.84	-32.14	0.00	0.00	-24.30	0.00	0.00	0.00	0.00	-24.30	
FLO	0.00	30.51	0.00	0.00	0.00	30.51	0.00	0.00	0.00	0.00	30.51	
FOVMX	0.00	32.05	-2.96	0.00	0.00	29.09	0.00	0.00	0.00	0.00	29.09	
FORD	0.00	159,994.91	-9,040.11	0.00	0.00	150,954.81	0.00	0.00	0.00	0.00	150,954.81	
FOSL	0.00	1,159.71	-1,445.68	0.00	0.00	-285.96	0.00	0.00	0.00	0.00	-285.96	
FOX	0.00	17.35	-214.35	0.00	0.00	-196.99	0.00	0.00	0.00	0.00	-196.99	
FOXA	0.00	135.68	-129.33	0.00	0.00	6.35	0.00	0.00	0.00	0.00	6.35	
FSLR	0.00	5,999.76	0.00	0.00	0.00	5,999.76	0.00	0.00	0.00	0.00	5,999.76	
GBR	0.00	23,463.68	-244,080.37	0.00	0.00	-220,616.68	0.00	0.00	0.00	0.00	-220,616.68	
GBT	0.00	84.67	-6.75	0.00	0.00	77.92	0.00	0.00	0.00	0.00	77.92	
GDS	0.00	0.00	-606.64	0.00	0.00	-606.64	0.00	0.00	0.00	0.00	-606.64	
GDX	0.00	0.00	-69.43	0.00	0.00	-69.43	0.00	0.00	0.00	0.00	-69.43	
GE	0.00	58,756.15	-723,502.17	0.00	0.00	-664,746.02	0.00	0.00	0.00	0.00	-664,746.02	
GEMP	0.00	195.84	0.00	0.00	0.00	195.84	0.00	0.00	0.00	0.00	195.84	

Insured Bank Deposit Sweep Program - By Bank

Program Bank	Interest Rate (%)	Transfers	Balance	Interest Accrual
CITIGS	0.00	0.00	0.00	0.00
CMBNUS	0.00	0.00	0.00	0.00
DBNKUS	0.00	0.00	0.00	0.00
ENTRUS	0.00	0.00	0.00	0.00
FCBNUS	0.00	0.00	0.00	0.00
Iberiabank	0.00	0.02	0.02	0.00
METAUS	0.00	0.00	0.00	0.00
MINWST	0.00	0.00	0.00	0.00
PNCCUS	0.00	0.00	0.00	0.00
POALUS	0.00	0.00	0.00	0.00
Sterling National Bank	0.00	0.24	0.24	0.00
Total		1.43	1.43	0.00

Eligible funds are swept to a Program Bank on the business day following the date the funds are credited to your account and until swept to a Program Bank, funds are covered by SIPC, up to applicable limits. You are responsible for monitoring your total assets at each Program Bank listed on your statement. Failure to account for non-Program deposits (i.e., your own account(s) or deposits established through a separate sweep program) at the same time as the same account ownership category may void insurance on any portion of your deposits in excess of the FDIC limit. Balances held by the Program Bank(s) listed above are not covered by the Securities Investor Protection (SIPC) protection applicable to your brokerage account. For additional information, please refer to the Insured Bank Deposit Sweep Program Disclosure Statement.

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
USD								
LCI	60,000	1	4.7171409	283,028.45	4.9600	297,600.00	14,571.55	
UWT	1,500	1	7.8913678	11,837.05	8.9000	13,350.00	1,512.95	
Total				294,865.50		310,950.00	16,084.50	
Equity and Index Options								
USD								
DIA 18JAN19 2250 P	3	100	10.457443	3,137.23	2.3494	704.82	-2,432.41	
LCI 15MAR19 7.5 C	2	100	0.215343	43.07	0.2251	45.02	1.95	
NBR 01FEB19 1.5 P	1	100	0.119143	11.91	0.0764	7.64	-4.27	
TIS 18JAN19 2.0 P	2	100	1.245843	249.17	1.1205	224.10	-25.07	
Total				3,441.38		981.58	-2,459.80	

Forex Balances

Description	Quantity	Cost Price	Cost Basis in USD	Close Price	Value in USD	Unrealized P/L in USD	Code
Forex							
USD							
CAD	834,677.36	0.8012195	-668,759.75	0.73319	611,977.10	-56,782.66	
USD	-1,634,827.02	1.0000	1,634,827.02	1.0000	-1,634,827.02	0.00	
Total			966,067.27		-1,022,849.92	-56,782.66	

Trades											
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code	
Stocks											
CAD											
ACB	2018-01-03, 13:00:28	-10,000	13.5801	14,1800	135,801.00	-56.12	-135,744.88	0.00	-5,999.00	O:P	
ACB	2018-01-03, 13:01:05	-10,000	13.5629	14,1800	135,629.00	-49.94	-135,579.06	0.00	-6,171.00	O:P	
ACB	2018-01-03, 13:08:09	-20,000	13.54025	14,1800	270,805.00	-103.64	-270,701.36	0.00	-12,795.00	O:P	
ACB	2018-01-05, 14:11:04	-10,000	13.1900	13,1600	131,900.00	-41.80	-131,858.20	0.00	300.00	O	
ACB	2018-01-08, 11:11:29	-100	14.0700	13,8900	1,407.00	-0.93	-1,406.07	0.00	18.00	O	
ACB	2018-01-09, 12:33:08	-1,000	13.7300	13,6300	13,730.00	-4.18	-13,725.82	0.00	100.00	O:P	
ACB	2018-01-24, 13:05:44	-10,000	14.5700	13,9800	145,700.00	-38.03	-145,661.97	0.00	5,900.00	O:P	
Total ACB		-61,100			834,972.00	-294.64	-834,677.36	0.00	-18,647.00		
Total					834,972.00	-294.64	-834,677.36	0.00	-18,647.00		
USD											
Total In USD					668,995.62	-235.87	-668,759.75	0.00	-14,799.83		
20181119001458ET											
	2018-11-20, 10:58:41	-100	14.5500	14,3000	1,455.00	-0.19	-1,454.81	0.00	25.00	O:P	
	2018-11-20, 14:23:51	100	14.2500	14,3000	-1,425.00	-0.25	1,454.81	29.56	5.00	C	
Total 20181119001458ET		0			30.00	-0.44	0.00	29.56	30.00		
AA	2018-04-19, 08:25:27	-100	61.6500	60,2300	6,165.00	-0.82	-6,164.18	0.00	142.00	O	
AA	2018-04-19, 08:25:28	-800	61.5009375	60,2300	49,200.75	-4.99	-49,195.76	0.00	1,016.75	O:P	
AA	2018-04-19, 08:25:28	-1,000	61.40235	60,2300	61,402.35	-6.06	-61,396.29	0.00	1,172.35	O:P	
AA	2018-04-19, 08:25:28	-1,000	61.3400	60,2300	61,340.00	-3.69	-61,336.31	0.00	1,110.00	O:P	
AA	2018-04-19, 08:25:28	-100	61.4800	60,2300	6,148.00	-0.32	-6,147.68	0.00	125.00	O	
AA	2018-04-19, 08:25:28	-15	61.4100	60,2300	921.15	-0.42	-920.73	0.00	17.70	O	
AA	2018-04-19, 08:40:19	590	61.3215254	60,2300	-36,179.70	0.24	36,296.11	116.65	-644.00	C:P	
AA	2018-04-19, 08:43:42	2,425	61.3950722	60,2300	-148,883.05	0.97	148,864.83	-17.25	-2,825.30	C:P	
AA	2018-04-23, 10:41:27	-3,426	52.2679247	51,9000	179,069.91	-6.46	-179,063.45	0.00	1,260.51	O:P	
Total AA		-3,426			179,184.41	-21.57	-179,063.45	99.39	1,375.01		
AABA	2018-11-21, 12:00:24	-100	62.6900	62,1500	6,269.00	-0.25	-6,268.75	0.00	54.00	O	
AABA	2018-11-21, 12:13:36	33	62.4900	62,1500	-2,062.17	-0.29	2,068.69	6.23	-11.22	C	
AABA	2018-11-21, 15:16:53	67	62.6984	62,1500	-4,200.79	-0.36	4,200.06	-1.10	-36.74	C	
Total AABA		0			6.04	-0.90	0.00	5.13	6.04		
AAL	2018-10-24, 09:35:19	-10	32.5200	30,3400	325.20	-0.34	-324.86	0.00	21.80	O	

Trades												
FSLR	2018-02-23, 11:23:36		250	61.1000	61.7900	-15,275.00	-0.03	16,049.50	774.47		172.50	C
FSLR	2018-02-23, 11:24:12		500	61.1100	61.7900	-30,555.00	-0.05	32,099.22	1,544.17		340.00	C:P
Total FSLR			-750			51,850.00	-2.66	-49,528.69	2,318.65		-1,227.50	
GBR	2018-06-29, 09:54:59		2,000	1.7500	4.2200	-3,500.00	1.60	3,498.40	0.00		4,940.00	O:P
GBR	2018-06-29, 10:01:34		2,425	2.1000	4.2200	-5,092.50	0.94	5,091.56	0.00		5,141.00	O:P
GBR	2018-06-29, 10:05:24		2,500	2.3300	4.2200	-5,825.00	2.00	5,823.00	0.00		4,725.00	O:P
GBR	2018-06-29, 12:13:50		447	3.7000	4.2200	-1,653.90	-1.88	1,655.78	0.00		232.44	O
GBR	2018-06-29, 13:49:37		-8,450	4.2247337	4.2200	35,699.00	-31.58	-19,870.31	15,048.52		40.00	C,O:P
GBR	2018-07-03, 09:37:33		-804	7.5724876	4.1100	6,088.28	-0.34	-6,087.94	0.00		2,783.84	O:P
GBR	2018-07-03, 09:37:33		-400	7.5700	4.1100	3,028.00	-0.17	-3,027.83	0.00		1,384.00	O:P
GBR	2018-07-03, 09:37:33		-404	7.5625743	4.1100	3,055.28	-0.17	-3,055.11	0.00		1,394.84	O:P
GBR	2018-07-03, 09:37:43		-3,650	7.2665753	4.1100	26,523.00	-5.14	-26,517.86	0.00		11,521.50	O:P
GBR	2018-07-03, 09:37:51		-200	7.2700	4.1100	1,454.00	-0.58	-1,453.42	0.00		632.00	O:P
GBR	2018-07-03, 09:37:56		-1,859	7.286014	4.1100	13,544.70	-4.07	-13,540.63	0.00		5,904.21	O:P
GBR	2018-07-03, 09:38:00		-238	7.3600	4.1100	1,751.68	-0.29	-1,751.39	0.00		773.50	O:P
GBR	2018-07-03, 09:38:12		-2,570	7.4729961	4.1100	19,205.60	-3.72	-19,201.88	0.00		8,642.90	O:P
GBR	2018-07-03, 09:38:13		-1,525	7.5100	4.1100	11,452.75	-0.50	-11,452.25	0.00		5,185.00	O:P
GBR	2018-07-03, 09:38:13		-800	7.5100	4.1100	6,008.00	-0.26	-6,007.74	0.00		2,720.00	O:P
GBR	2018-07-03, 09:38:13		-700	7.5100	4.1100	5,257.00	-0.23	-5,256.77	0.00		2,380.00	O:P
GBR	2018-07-03, 09:38:13		-2,725	7.5100	4.1100	20,464.75	-0.88	-20,463.87	0.00		9,265.00	O:P
GBR	2018-07-03, 09:38:16		-1,030	7.4800	4.1100	7,704.40	-1.93	-7,702.47	0.00		3,471.10	O:P
GBR	2018-07-03, 09:38:17		-770	7.5125974	4.1100	5,784.70	-2.32	-5,782.38	0.00		2,620.00	O:P
GBR	2018-07-03, 09:38:17		-300	7.5100	4.1100	2,253.00	-0.13	-2,252.87	0.00		1,020.00	O:P
GBR	2018-07-03, 09:42:59		-1,730	6.6211561	4.1100	11,454.60	-0.70	-11,453.90	0.00		4,344.30	O:P
GBR	2018-07-03, 09:42:59		-800	6.62125	4.1100	5,297.00	-0.33	-5,296.67	0.00		2,009.00	O:P
GBR	2018-07-03, 09:42:59		-600	6.6250	4.1100	3,975.00	-0.24	-3,974.76	0.00		1,509.00	O:P
GBR	2018-07-03, 09:42:59		-600	6.6250	4.1100	3,975.00	-0.24	-3,974.76	0.00		1,509.00	O:P
GBR	2018-07-03, 09:42:59		-780	6.6261538	4.1100	5,168.40	-0.32	-5,168.08	0.00		1,962.60	O:P
GBR	2018-07-03, 09:42:59		-400	6.6225	4.1100	2,649.00	-0.16	-2,648.84	0.00		1,005.00	O:P

Trades												
GBR	2018-07-03, 09:42:59		-2,601	6 6296155	4.1100	17,243.63	-1.06	-17,242.57	0.00		6 553.52	O:P
GBR	2018-07-03, 09:42:59		-600	6 6283333	4.1100	3,977.00	-0.24	-3,976.76	0.00		1,511.00	O:P
GBR	2018-07-03, 09:43:00		-400	6 6300	4.1100	2 652.00	-0.13	-2,651.87	0.00		1,008.00	O:P
GBR	2018-07-03, 09:43:00		-500	6 6300	4.1100	3 315.00	-0.16	-3,314.84	0.00		1,260.00	O:P
GBR	2018-07-03, 09:43:00		-400	6 6300	4.1100	2 652.00	-0.13	-2,651.87	0.00		1,008.00	O:P
GBR	2018-07-03, 09:43:00		-500	6 6300	4.1100	3 315.00	-0.16	-3,314.84	0.00		1,260.00	O:P
GBR	2018-07-03, 09:43:00		-600	6 6300	4.1100	3 978.00	-0.19	-3,977.81	0.00		1,512.00	O:P
GBR	2018-07-03, 09:43:00		-600	6 6300	4.1100	3 978.00	-0.19	-3,977.81	0.00		1,512.00	O:P
GBR	2018-07-03, 09:43:00		-400	6 6300	4.1100	2 652.00	-0.13	-2,651.87	0.00		1,008.00	O:P
GBR	2018-07-03, 09:43:00		-1,240	6 6300	4.1100	8 221.20	-0.39	-8,220.81	0.00		3 124.80	O:P
GBR	2018-07-03, 09:43:00		-400	6 6300	4.1100	2 652.00	-0.13	-2,651.87	0.00		1,008.00	O:P
GBR	2018-07-03, 09:43:14		-1,000	6 2030	4.1100	6 203.00	-1.65	-6,201.35	0.00		2 093.00	O:P
GBR	2018-07-03, 09:43:14		-200	6 1800	4.1100	1 236.00	-0.08	-1 235.92	0.00		414.00	O:P
GBR	2018-07-03, 09:43:14		-200	6 1800	4.1100	1 236.00	-0.08	-1 235.92	0.00		414.00	O:P
GBR	2018-07-03, 09:43:14		-900	6 1955556	4.1100	5 576.00	-0.61	-5 575.39	0.00		1 877.00	O:P
GBR	2018-07-03, 09:43:16		-700	6 2442857	4.1100	4 371.00	-0.78	-4 370.22	0.00		1 494.00	O:P
GBR	2018-07-03, 09:43:16		-2,700	6 2337037	4.1100	16 831.00	-1.58	-16 829.42	0.00		5 734.00	O:P
GBR	2018-07-03, 09:43:16		-802	6 2500	4.1100	5 012.50	-0.82	-5 011.68	0.00		1 716.28	O:P
GBR	2018-07-03, 09:43:16		-800	6 24875	4.1100	4 999.00	-0.82	-4 998.18	0.00		1 711.00	O:P
GBR	2018-07-03, 10:57:46		-971	6 7410299	4.1100	6 545.54	-0.85	-6 544.69	0.00		2 554.73	O:P
GBR	2018-07-10, 09:00:47		-200	3 4100	3 8800	682.00	-0.02	-681.98	0.00		-94.00	O:P
GBR	2018-07-10, 09:00:47		-300	3 4033333	3 8800	1 021.00	0.04	-1 021.04	0.00		-143.00	O:P
GBR	2018-07-10, 09:00:47		-300	3 4033333	3 8800	1 021.00	0.04	-1 021.04	0.00		-143.00	O:P
GBR	2018-07-10, 09:48:33		3 600	4 3460083	3 8800	-15 645.63	-16.92	14 802.23	-751.70		-1 677.63	C,O:P
GBR	2018-07-10, 09:57:16		-700	3 8728571	3 8800	2 711.00	-1.16	-3 048.04	-338.20		-5.00	C:P
GBR	2018-07-10, 09:57:23		-1 000	3 8700	3 8800	3 870.00	0.23	-4 354.70	-484.47		-10.00	C:P
GBR	2018-07-10, 09:57:35		-1 000	3 8800	3 8800	3 880.00	0.13	-4 349.58	-469.45		0.00	C:P
GBR	2018-07-10, 09:57:35		-100	3 8800	3 8800	388.00	-0.18	-434.47	-46.65		0.00	C
GBR	2018-09-24, 16:00:39		3 000	3 3400	3 3900	-10 020.00	-15.60	10 035.60	0.00		150.00	O

Trades												
GBR	2018-09-25, 09:49:15		-3.000	2.9200	2.4700	8.760.00	-7.36	-10.035.60	-1.282.96		1.350.00	C:P
Total GBR			-40.477			289,034.98	-102.66	-276,617.25	11,675.08		125,315.93	
GBT	2018-12-04, 11:14:12		-100	47.2900	45.4500	4.729.00	-0.74	-4.728.26	0.00		184.00	O
GBT	2018-12-04, 11:16:26		33	46.7300	45.4500	-1.542.09	-0.29	1.560.32	17.95		-42.24	C
GBT	2018-12-04, 11:20:47		33	46.5400	45.4500	-1.535.82	-0.29	1.560.32	24.22		-35.97	C
GBT	2018-12-04, 11:20:51		34	46.6100	45.4500	-1.584.74	-0.46	1.607.61	22.41		-39.44	C
GBT	2018-12-04, 12:32:35		-100	45.5500	45.4500	4.555.00	-0.23	-4.554.77	0.00		10.00	O
GBT	2018-12-04, 12:59:57		33	44.9300	45.4500	-1.482.69	-0.29	1.503.07	20.10		17.16	C
GBT	2018-12-04, 13:11:44		67	45.6400	45.4500	-3.057.88	-0.56	3.051.69	-6.75		-12.73	C
Total GBT			0			80.78	-2.86	0.00	77.92		80.78	
GDS	2018-08-01, 10:20:45		-300	23.1100	25.0000	6.933.00	-0.61	-6.932.39	0.00		-567.00	O
Total GDS			-300			6,933.00	-0.61	-6,932.39	0.00		-567.00	
GDX	2018-04-12, 16:03:01		-260	22.5200	22.4700	5.855.20	-1.39	-5.853.81	0.00		13.00	O
Total GDX			-260			5,855.20	-1.39	-5,853.81	0.00		13.00	
GE	2018-02-09, 15:37:00		-9.900	14.8100	14.9400	146.619.00	-68.56	-146.550.44	0.00		-1,287.00	O:P
GE	2018-02-09, 15:45:25		-10.000	14.940054	14.9400	149.400.54	-29.61	-149.370.93	0.00		0.54	O:P
GE	2018-02-09, 15:49:20		3.028	14.9600	14.9400	-45.298.88	-7.58	44.824.55	-481.91		-60.56	C:P
GE	2018-02-09, 15:49:42		5.000	14.9500	14.9400	-74.750.00	-8.01	74.014.96	-743.06		-50.00	C:P
GE	2018-02-09, 15:55:16		10.000	14.9500	14.9400	-149.500.00	-25.03	149.119.26	-405.77		-100.00	C:P
GE	2018-02-09, 15:55:19		10.000	14.9400	14.9400	-149.400.00	-30.33	141.530.69	-9.94		0.00	C:O:P
GE	2018-02-09, 15:57:36		10.000	14.9400	14.9400	-149.400.00	-25.03	149.425.03	0.00		0.00	O:P
GE	2018-02-09, 15:59:37		10.000	14.959825	14.9400	-149.598.25	-26.95	149.625.20	0.00		-198.25	O:P
GE	2018-02-09, 15:59:49		10.000	14.9500	14.9400	-149.500.00	-25.03	149.525.03	0.00		-100.00	O:P
GE	2018-02-09, 16:00:01		4.000	14.9500	14.9400	-59.800.00	-26.81	59.826.81	0.00		-40.00	O:P
GE	2018-02-09, 16:01:01		2.000	14.9500	14.9400	-29.900.00	-3.21	29.903.21	0.00		-20.00	O:P
GE	2018-02-09, 16:21:23		123	14.9500	14.9400	-1.838.85	-0.20	1.839.05	0.00		-1.23	O:P
GE	2018-02-09, 16:37:22		2.000	14.9600	14.9400	-29.920.00	-7.08	29.927.08	0.00		-40.00	O:P
GE	2018-02-09, 16:37:27		2.000	14.9600	14.9400	-29.920.00	-4.23	29.924.23	0.00		-40.00	O:P
GE	2018-02-09, 16:37:34		826	14.9600	14.9400	-12.356.96	-2.34	12.359.30	0.00		-16.52	O:P
GE	2018-02-09, 16:38:09		100	14.9600	14.9400	-1.496.00	-0.67	1.496.67	0.00		-2.00	O

Trades												
X 29MAR18 33.0 P	2018-03-28, 12:21:12		10	0.3700	0.2149	-370.00	-8.38	359.59	-18.78		-155.10	C
X 29MAR18 33.0 P	2018-03-29, 10:55:52		5	0.0200	0.0000	-10.00	-1.69	179.80	168.11		-10.00	C
Total X 29MAR18 33.0 P			0			160.00	-10.68	0.00	149.32		-496.60	
X 20APR18 30.0 P	2018-03-22, 14:58:21		-20	0.3900	0.5312	780.00	-0.81	-779.19	0.00		-282.40	O
X 20APR18 30.0 P	2018-03-29, 10:57:42		10	0.2800	0.3060	-280.00	-0.38	389.59	109.21		26.00	C
X 20APR18 30.0 P	2018-03-29, 11:05:48		10	0.2600	0.3060	-260.00	-0.38	389.59	129.21		46.00	C
Total X 20APR18 30.0 P			0			240.00	-1.57	0.00	238.43		-210.40	
XOM 27APR18 78.0 P	2018-04-27, 10:15:28		-32	0.2300	0.2100	736.00	-26.89	-709.11	0.00		64.00	O,P
Total XOM 27APR18 78.0 P			-32			736.00	-26.89	-709.11	0.00		64.00	
Total						5,787,119.00	-55,731.88	-274,371.48	3,713,688.09		2,035,995.04	
Symbol	Date/Time		Quantity	T. Price		Proceeds	Comm in USD			MTM in USD		Code
Forex												
JPY												
USD_JPY	2018-04-19, 22:07:30		-25,000	107.6990		2,692.475	-2.00				12.29	
USD_JPY	2018-04-19, 22:22:52		25,000	107.6830		-2,692.075	-2.00				-8.57	
USD_JPY	2018-04-23, 18:04:05		3,6792	108.7200		-400	0.00				0.00	
Total USD_JPY			3,6792			0	-4.00				3.72	
Total						0	-4.00				3.72	
Total in USD						0.04	-4.00				3.72	

Corporate Actions							
Report Date	Date/Time	Description	Quantity	Proceeds	Value	Realized P/L	Code
Stocks							
USD							
2018-01-05	2018-01-04, 20:25:00	LTEA(US54267E1047) CUSIP/ISIN Change to (US5426141025) (LBCC, LONG BLOCKCHAIN CORP, 542614102)	16,949	0.00	0.00	0.00	
2018-01-05	2018-01-04, 20:25:00	LTEA(US54267E1047) CUSIP/ISIN Change to (US5426141025) (LBCC, LONG BLOCKCHAIN CORP, 542614102)	-204,984	0.00	0.00	0.00	
2018-01-05	2018-01-04, 20:25:00	LTEA(US54267E1047) CUSIP/ISIN Change to (US5426141025) (LTEA,QLD, LONG ISLAND ICED TEA CORP, 54267E104)	204,984	0.00	0.00	0.00	
2018-01-05	2018-01-04, 20:25:00	LTEA(US54267E1047) CUSIP/ISIN Change to (US5426141025) (LTEA,QLD, LONG ISLAND ICED TEA CORP, 54267E104)	-16,949	0.00	0.00	0.00	
2018-11-28	2018-11-27, 20:25:00	ITUB(US4655621062) Stock Dividend 1 for 2 (ITUB, ITAU UNIBANCO H-SPON PRF ADR, 465562106)	-45	0.00	-418.50	0.00	
Total				0.00	-418.50	0.00	

Transfers												
Symbol	Date	Type	Direction	Xfer Company	Xfer Account	Quantity	Xfer Price	Market Value	Realized P/L	Cash Amount	Code	

Transfers											
Stocks											
CAD											
ACB	2018-02-01	Internal	In	--		UL1043811	61,100	--	689,208.00	25,056.66	0.00
Total									689,208.00	25,056.66	0.00
Total in USD									561,863.04	20,426.94	0.00
USD											
AA	2018-04-25	Internal	In	--		UL1043811	3,426	--	177,980.70	1,547.48	0.00
AAL	2018-11-20	Internal	In	--		UL1043811	10	--	356.90	-32.06	0.00
AAPL	2018-02-23	Internal	In	--		UL1043811	200	--	34,854.00	-2,158.96	0.00
AAPL	2018-04-23	Internal	In	--		UL1043811	2,020	--	332,916.20	-195.52	0.00
AAPL	2018-04-25	Internal	In	--		UL1043811	66	--	10,833.90	14.82	0.00
AAPL	2018-06-14	Internal	In	--		UL1043811	4,031	--	769,235.73	67,300.48	0.00
AAPL	2018-07-23	Internal	In	--		UL1043811	73	--	13,994.10	107.52	0.00
AAPL	2018-07-25	Internal	In	--		UL1043811	500	--	96,290.00	-13.54	0.00
AAPL	2018-07-26	Internal	In	--		UL1043811	500	--	97,295.00	-51.80	0.00
AAPL	2018-08-20	Internal	Out	--		UL1043811	5,000	--	1,087,749.00	0.00	0.00
AAPL	2018-10-18	Internal	In	--		UL1043811	10	--	2,154.65	-17.74	0.00
AAPL	2018-11-15	Internal	Out	--		UL1043811	2,710	--	518,965.00	0.00	0.00
AAPL	2018-11-29	Internal	In	--		UL1043811	-26	--	-4,741.62	-18.65	0.00
AAPL	2018-12-20	Internal	In	--		UL1043811	2,500	--	399,375.00	1,369.33	0.00
ABEV	2018-11-06	Internal	In	--		UL1043811	10	--	43.10	-0.55	0.00
ABEV	2018-12-12	Internal	In	--		UL1043811	200	--	822.00	20.38	0.00
ABIL	2018-06-22	Internal	In	--		UL1043811	14,513	--	63,566.94	-19,509.50	0.00
ABIL	2018-10-22	Internal	Out	--		UL1043811	9,700	--	30,070.00	0.00	0.00
ABX	2018-10-22	Internal	Out	--		UL1043811	10	--	130.90	0.00	0.00
ACAD	2018-09-24	Internal	In	--		UL1043811	-23	--	-456.51	-1.09	0.00
ACB	2018-11-20	Internal	In	--		UL1043811	10	--	59.00	-4.98	0.00
ACB	2018-12-12	Internal	In	--		UL1043811	90	--	553.36	-23.30	0.00
ACHC	2018-11-15	Internal	In	--		UL1043811	1,610	--	60,572.55	-293.59	0.00
ACHV	2018-07-12	Internal	In	--		UL1043811	3,000	--	10,950.00	-1,388.03	0.00
ACHV	2018-09-27	Internal	Out	--		UL1043811	250	--	937.50	0.00	0.00
ACRX	2018-11-06	Internal	In	--		UL1043811	32,240	--	132,425.80	-5,965.84	0.00
ADIL	2018-12-14	Internal	In	--		UL1043811	5,700	--	20,813.55	372.41	0.00
ADMS	2018-02-23	Internal	In	--		UL1043811	590	--	14,012.50	-83.17	0.00
AEMD	2018-11-29	Internal	In	--		UL1043811	10,565	--	14,791.00	1,962.19	0.00
AETI	2018-06-04	Internal	In	--		UL1043811	24,381	--	46,323.90	428.84	0.00
AEZS	2018-02-01	Internal	In	--		UL1043811	20,727	--	46,635.75	8,166.33	0.00
AEZS	2018-11-06	Internal	In	--		UL1043811	61,123	--	145,026.54	9,342.22	0.00
AKAO	2018-02-01	Internal	In	--		UL1043811	29,303	--	324,645.01	-12,540.57	0.00
AKRX	2018-08-24	Internal	In	--		UL1043811	-700	--	-10,619.00	119.34	0.00
AKS	2018-11-06	Internal	In	--		UL1043811	10	--	39.22	-2.95	0.00
ALDX	2018-09-27	Internal	Out	--		UL1043811	881	--	12,422.10	0.00	0.00
ALOA	2018-12-03	Internal	In	--		UL1043811	479	--	1,149.60	359.54	0.00
ALT	2018-10-10	Internal	In	--		UL1043811	1,000	--	4,420.00	-1,642.93	0.00
AMAG	2018-02-23	Internal	In	--		UL1043811	-500	--	-9,450.00	-110.36	0.00
AMAT	2018-11-20	Internal	In	--		UL1043811	67	--	2,465.45	17.66	0.00
AMAT	2018-12-12	Internal	In	--		UL1043811	10	--	346.32	7.84	0.00

Transfers												
FB	2018-04-12	Internal	In	--	UL1043811	1,270	--	208,026.00	1,024.81	0.00		
FB	2018-04-25	Internal	In	--	UL1043811	250	--	41,612.50	-878.23	0.00		
FB	2018-04-27	Internal	In	--	UL1043811	2,207	--	385,783.60	674.27	0.00		
FB	2018-04-30	Internal	In	--	UL1043811	2,600	--	450,008.00	1,022.94	0.00		
FB	2018-05-03	Internal	In	--	UL1043811	2,800	--	490,027.44	1,679.26	0.00		
FB	2018-05-23	Internal	In	--	UL1043811	622	--	115,854.22	-116.16	0.00		
FB	2018-06-07	Internal	In	--	UL1043811	1,225	--	230,492.20	5,296.99	0.00		
FB	2018-06-26	Internal	In	--	UL1043811	455	--	88,424.70	2,185.63	0.00		
FB	2018-07-26	Internal	In	--	UL1043811	3	--	521.60	3.94	0.00		
FB	2018-10-22	Internal	Out	--	UL1043811	7	--	1,083.67	0.00	0.00		
FB	2018-11-15	Internal	In	--	UL1043811	600	--	85,218.12	1,892.60	0.00		
FB	2018-12-28	Internal	In	--	UL1043811	-200	--	-27,004.00	577.18	0.00		
FCX	2018-10-18	Internal	In	--	UL1043811	10	--	121.62	-3.77	0.00		
FCX	2018-11-20	Internal	In	--	UL1043811	207	--	2,253.20	-111.63	0.00		
FEYE	2018-02-23	Internal	In	--	UL1043811	3,100	--	50,930.83	676.67	0.00		
FIT	2018-06-13	Internal	In	--	UL1043811	106,942	--	795,648.48	39,029.82	0.00		
FIT	2018-11-06	Internal	In	--	UL1043811	17,500	--	109,200.00	3,854.94	0.00		
FOMX	2018-09-24	Internal	In	--	UL1043811	-500	--	-3,010.00	-2.96	0.00		
FORD	2018-02-01	Internal	In	--	UL1043811	33,272	--	79,187.36	12,958.06	0.00		
FORD	2018-06-21	Internal	In	--	UL1043811	-1,050	--	-1,827.00	-207.31	0.00		
FOSL	2018-02-23	Internal	In	--	UL1043811	450	--	6,029.91	-110.60	0.00		
FOSL	2018-05-09	Internal	In	--	UL1043811	10,000	--	177,500.00	1,038.43	0.00		
FSLR	2018-02-27	Internal	In	--	UL1043811	750	--	48,090.00	3,681.11	0.00		
GBR	2018-07-03	Internal	In	--	UL1043811	40,477	--	231,933.21	-232,291.77	0.00		
GDS	2018-08-02	Internal	In	--	UL1043811	300	--	8,472.00	-606.64	0.00		
GDX	2018-04-12	Internal	In	--	UL1043811	260	--	5,863.00	-69.43	0.00		
GE	2018-02-23	Internal	In	--	UL1043811	10,000	--	146,000.00	652.45	0.00		
GE	2018-03-01	Internal	In	--	UL1043811	600	--	8,454.00	-226.69	0.00		
GE	2018-03-02	Internal	In	--	UL1043811	6,876	--	96,607.80	-152.28	0.00		
GE	2018-04-02	Internal	Out	--	UL1043811	101,700	--	1,370,916.00	0.00	0.00		
GE	2018-04-23	Internal	In	--	UL1043811	12,485	--	181,781.60	663.46	0.00		
GE	2018-05-23	Internal	In	--	UL1043811	69,950	--	991,191.50	2,786.54	0.00		
GE	2018-05-24	Internal	In	--	UL1043811	10,500	--	153,640.20	31.36	0.00		
GE	2018-06-27	Internal	In	--	UL1043811	97,592	--	1,368,083.69	13,674.33	0.00		
GE	2018-10-22	Internal	Out	--	UL1043811	20	--	247.00	0.00	0.00		
GE	2018-11-13	Internal	Out	--	UL1043811	98,040	--	862,752.00	0.00	0.00		
GE	2018-11-23	Internal	In	--	UL1043811	-33	--	-258.88	-3.33	0.00		
GERN	2018-03-20	Internal	In	--	UL1043811	-1,261	--	-5,270.98	-24.86	0.00		
GERN	2018-03-22	Internal	In	--	UL1043811	750	--	3,885.00	59.41	0.00		
GERN	2018-05-25	Internal	In	--	UL1043811	1,000	--	4,640.80	157.45	0.00		
GES	2018-04-06	Internal	In	--	UL1043811	-21,000	--	-486,570.00	-60,254.88	0.00		
GEVO	2018-06-18	Internal	In	--	UL1043811	7,568	--	84,080.48	8,758.90	0.00		
GEVO	2018-06-19	Internal	In	--	UL1043811	80	--	1,556.00	194.29	0.00		
GGB	2018-11-06	Internal	In	--	UL1043811	110	--	492.80	-0.40	0.00		
GLD	2018-04-25	Internal	In	--	UL1043811	15	--	1,880.98	-2.26	0.00		
GLUU	2018-11-21	Internal	In	--	UL1043811	10	--	69.00	1.94	0.00		
GM	2018-04-03	Internal	In	--	UL1043811	-4,000	--	-145,910.00	89.27	0.00		

Transfers										
GES 21SEP18 23.0 C	2018-06-19	Internal	In	--	UL1043811	50	--	9,861.00	7,619.83	0.00
INTC 27APR18 53.5 C	2018-04-27	Internal	In	--	UL1043811	50	--	1,900.00	621.05	0.00
MRVL 17AUG18 24.0 C	2018-03-12	Internal	In	--	UL1043811	-4	--	-956.16	205.25	0.00
PBR 22JUN18 9.5 C	2018-06-19	Internal	In	--	UL1043811	100	--	1,900.00	-547.01	0.00
PM 20APR18 85.0 C	2018-04-19	Internal	Out	--	UL1043811	4	--	556.00	0.00	0.00
RIOT 16MAR18 29.0 C	2018-02-01	Internal	In	--	UL1043811	350	--	6,090.00	89,304.21	0.00
SPY 20APR18 268.0 P	2018-04-19	Internal	Out	--	UL1043811	256	--	16,896.00	0.00	0.00
SPY 23APR18 266.0 P	2018-04-23	Internal	In	--	UL1043811	20	--	20.00	945.77	0.00
TIF 25MAY18 121.0 C	2018-05-25	Internal	In	--	UL1043811	1	--	625.00	13.92	0.00
TLRY 15MAR19 40.0 P	2018-12-19	Internal	Out	--	UL1043811	-5	--	-2,150.00	0.00	0.00
TSLA 06APR18 230.0 P	2018-03-29	Internal	In	--	UL1043811	4	--	1,560.00	-1,660.33	0.00
TSLA 06APR18 285.0 C	2018-04-05	Internal	In	--	UL1043811	2	--	2,338.00	-1,626.76	0.00
TSLA 20APR18 255.0 P	2018-03-29	Internal	In	--	UL1043811	50	--	82,750.00	2,494.33	0.00
TSLA 20APR18 300.0 C	2018-04-19	Internal	Out	--	UL1043811	10	--	3,200.00	0.00	0.00
W 23FEB18 80.0 P	2018-02-23	Internal	In	--	UL1043811	100	--	79,000.00	-24,768.45	0.00
W 23FEB18 90.0 P	2018-02-23	Internal	In	--	UL1043811	130	--	209,331.20	-146,243.30	0.00
XOM 27APR18 78.0 P	2018-04-27	Internal	In	--	UL1043811	32	--	864.00	-308.84	0.00
Total								728,876.58	-47,800.46	0.00

Interest		
Date	Description	Amount
USD		
2018-03-28	Insured Deposit Credit Interest	45.83
2018-03-28	Insured Deposit Credit Interest	74.73
2018-03-28	Insured Deposit Credit Interest	2.63
2018-03-28	Insured Deposit Credit Interest	64.43
2018-03-28	Insured Deposit Credit Interest	0.03
2018-03-28	Insured Deposit Credit Interest	47.97
2018-03-28	Insured Deposit Credit Interest	5.94
2018-03-28	Insured Deposit Credit Interest	45.83
2018-03-28	Insured Deposit Credit Interest	46.55
2018-03-28	Insured Deposit Credit Interest	9.95
2018-03-28	Insured Deposit Credit Interest	45.83
2018-03-28	Insured Deposit Credit Interest	45.83
2018-03-28	Insured Deposit Credit Interest	38.75
2018-03-28	Insured Deposit Credit Interest	7.08
2018-04-16	Insured Deposit Credit Interest	79.75
2018-04-16	Insured Deposit Credit Interest	92.73
2018-04-17	Insured Deposit Credit Interest	98.97
2018-04-23	Insured Deposit Credit Interest	0.04
2018-04-23	Insured Deposit Credit Interest	39.82
2018-04-23	Insured Deposit Credit Interest	1.94
2018-04-23	Insured Deposit Credit Interest	106.92
2018-04-23	Insured Deposit Credit Interest	97.60
2018-04-23	Insured Deposit Credit Interest	113.18
2018-04-23	Insured Deposit Credit Interest	0.20
2018-04-23	Insured Deposit Credit Interest	78.74
2018-04-23	Insured Deposit Credit Interest	9.32
2018-04-23	Insured Deposit Credit Interest	49.68
2018-04-23	Insured Deposit Credit Interest	74.20
2018-04-23	Insured Deposit Credit Interest	6.49
2018-04-23	Insured Deposit Credit Interest	104.98
2018-04-24	Insured Deposit Credit Interest	7.50
2018-04-24	Insured Deposit Credit Interest	0.43
2018-04-27	Insured Deposit Credit Interest	37.93
2018-04-27	Insured Deposit Credit Interest	53.26
2018-04-27	Insured Deposit Credit Interest	45.20
2018-04-27	Insured Deposit Credit Interest	45.20
2018-04-27	Insured Deposit Credit Interest	53.31
2018-04-27	Insured Deposit Credit Interest	0.05
2018-04-27	Insured Deposit Credit Interest	45.78
2018-04-27	Insured Deposit Credit Interest	8.10
2018-04-27	Insured Deposit Credit Interest	0.02
2018-04-27	Insured Deposit Credit Interest	45.35
2018-04-27	Insured Deposit Credit Interest	8.10
2018-04-27	Insured Deposit Credit Interest	7.53
2018-04-27	Insured Deposit Credit Interest	51.12

Dividends		
Date	Description	Amount
USD		
2018-04-20	GES(US4016171054) Payment in Lieu of Dividend (Ordinary Dividend)	-37,445.85
2018-05-17	AAPL(US0378331005) Payment in Lieu of Dividend (Ordinary Dividend)	-17,564.53
2018-07-10	TIF(US8865471085) Cash Dividend USD 0.55000000 PAYMENT IN LIEU OF DIVIDEND (Ordinary Dividend)	-24,915.00
2018-08-16	AAPL(US0378331005) Payment in Lieu of Dividend (Ordinary Dividend)	-111,106.00
2018-11-01	FCX(US35671D8570) Payment in Lieu of Dividend (Ordinary Dividend)	-0.20
2018-11-15	AAPL(US0378331005) Payment in Lieu of Dividend (Ordinary Dividend)	-1,921.36
2018-11-15	KMI(US49456B1017) Cash Dividend 0.20000000 USD per Share (Ordinary Dividend)	-0.20
2018-11-15	KMI(US49456B1017) Payment in Lieu of Dividend (Ordinary Dividend)	-1.80
2018-11-16	COG(US1270971039) Payment in Lieu of Dividend (Ordinary Dividend)	-0.70
2018-11-20	AAL(US02376R1023) Payment in Lieu of Dividend (Ordinary Dividend)	-1.00
2018-11-21	C(US1729674242) Payment in Lieu of Dividend (Ordinary Dividend)	-7.65
2018-11-30	SIRI(US82968B1035) Payment in Lieu of Dividend (Ordinary Dividend)	-1.33
2018-12-04	V(US92826C8394) Payment in Lieu of Dividend (Ordinary Dividend)	-2.50
2018-12-10	MRO(US5658491064) Payment in Lieu of Dividend (Ordinary Dividend)	-3.35
2018-12-10	PBR(US71654V4086) Cash Dividend 0.05192600 USD per Share (Ordinary Dividend)	4.82
2018-12-10	TGT(US87612E1064) Cash Dividend USD 0.64 (Ordinary Dividend)	157.44
2018-12-10	TGT(US87612E1064) Cash Dividend USD 0.64 PAYMENT IN LIEU OF DIVIDEND (Ordinary Dividend)	162.56
2018-12-13	AMAT(US0382221051) Cash Dividend USD 0.20 (Ordinary Dividend)	11.40
2018-12-13	ITUB(US4655621062) Payment in Lieu of Dividend (Ordinary Dividend)	-0.39
2018-12-13	MSFT(US9949181045) Cash Dividend USD 0.46 PAYMENT IN LIEU OF DIVIDEND (Ordinary Dividend)	-101.20
2018-12-20	QCOM(US7475251036) Cash Dividend USD 0.62 (Ordinary Dividend)	14.26
2018-12-21	NVDA(US67066G1040) Cash Dividend 0.16000000 USD per Share (Ordinary Dividend)	3.84
2018-12-28	BAC(US0605051046) Cash Dividend USD 0.15 PAYMENT IN LIEU OF DIVIDEND (Ordinary Dividend)	-8.55
Total		-192,727.29

Interest		
Date	Description	Amount
2018-09-27	Insured Deposit Credit Interest	46.96
2018-10-17	Insured Deposit Credit Interest	47.95
2018-10-30	Insured Deposit Credit Interest	0.34
2018-10-30	Insured Deposit Credit Interest	17.55
2018-10-30	Insured Deposit Credit Interest	0.15
2018-11-28	Insured Deposit Credit Interest	57.07
2018-11-29	Insured Deposit Credit Interest	4.05
2018-11-29	Insured Deposit Credit Interest	0.04
2018-11-29	Insured Deposit Credit Interest	0.70
2018-11-29	Insured Deposit Credit Interest	11.02
2018-12-21	Insured Deposit Credit Interest	46.75
2018-12-28	Insured Deposit Credit Interest	0.88
2018-12-28	Insured Deposit Credit Interest	0.20
2018-12-28	Insured Deposit Credit Interest	0.09
2018-12-28	Insured Deposit Credit Interest	11.57
Total		6,943.07

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD										
Stocks										
USD										
AAL	2018-11-02	2018-11-05	2018-11-20	-10	0.00	0.00	0.10	-1.00	-1.00	Po
AAL	2018-11-20	2018-11-05	2018-11-20	-10	0.00	0.00	0.10	1.00	1.00	Re
AAPL	2018-05-10	2018-05-11	2018-05-17	-24.061	0.00	0.00	0.73	-17,564.53	-17,564.53	Po
AAPL	2018-05-17	2018-05-11	2018-05-17	-24.061	0.00	0.00	0.73	17,564.53	17,564.53	Re
AAPL	2018-08-09	2018-08-10	2018-08-16	-152.200	0.00	0.00	0.73	-111,106.00	-111,106.00	Po
AAPL	2018-08-16	2018-08-10	2018-08-16	-152.200	0.00	0.00	0.73	111,106.00	111,106.00	Re
AAPL	2018-11-07	2018-11-08	2018-11-15	-2.632	0.00	0.00	0.73	-1,921.36	-1,921.36	Po
AAPL	2018-11-15	2018-11-08	2018-11-15	-2.632	0.00	0.00	0.73	1,921.36	1,921.36	Re
AMAT	2018-11-20	2018-11-21	2018-12-13	57	0.00	0.00	0.20	11.40	11.40	Po
AMAT	2018-12-13	2018-11-21	2018-12-13	57	0.00	0.00	0.20	-11.40	-11.40	Re
BAC	2018-12-05	2018-12-06	2018-12-28	-57	0.00	0.00	0.15	-8.55	-8.55	Po
BAC	2018-12-28	2018-12-06	2018-12-28	-57	0.00	0.00	0.15	8.55	8.55	Re
C	2018-11-01	2018-11-02	2018-11-21	-17	0.00	0.00	0.45	-7.65	-7.65	Po
C	2018-11-21	2018-11-02	2018-11-21	-17	0.00	0.00	0.45	7.65	7.65	Re
COG	2018-11-05	2018-11-06	2018-11-16	-10	0.00	0.00	0.07	-0.70	-0.70	Po
COG	2018-11-16	2018-11-06	2018-11-16	-10	0.00	0.00	0.07	0.70	0.70	Re
F	2018-10-19	2018-10-22	2018-12-03	-10	0.00	0.00	0.15	-1.50	-1.50	Po
F	2018-10-19	2018-10-22	2018-12-03	-10	0.00	0.00	0.15	1.50	1.50	Re
FCX	2018-10-11	2018-10-12	2018-11-01	-4	0.00	0.00	0.05	-0.20	-0.20	Po
FCX	2018-11-01	2018-10-12	2018-11-01	-4	0.00	0.00	0.05	0.20	0.20	Re
GE	2018-02-22	2018-02-23	2018-04-25	-10,000	0.00	0.00	0.12	-1,200.00	-1,200.00	Po
GE	2018-02-22	2018-02-23	2018-04-25	-10,000	0.00	0.00	0.12	1,200.00	1,200.00	Re

Financial Instrument Information

AUY	YAMANA GOLD INC	26985516		1		COMMON	
AVGO	BROADCOM INC	313130367		1		COMMON	
AVGR	AVINGER INC	305096738		1		COMMON	
AWX	AVALON HOLDINGS CORP-A	6604246		1		COMMON	
AXON	AXOVANT SCIENCES LTD	196558923	BMG0750W104 7	1		COMMON	
AXP	AMERICAN EXPRESS CO	4721		1		COMMON	
AYTU	AYTU BIOSCIENCE INC	329293967		1		COMMON	
AZN	ASTRAZENECA PLC-SPONS ADR	4521593		1		ADR	
BA	BOEING CO/THE	4762		1		COMMON	
BABA	ALIBABA GROUP HOLDING-SP ADR	166090175		1		ADR	
BAC	BANK OF AMERICA CORP	10088		1		COMMON	
BB	BLACKBERRY LTD	131217639		1		COMMON	
BBBY	BED BATH & BEYOND INC	266630		1		COMMON	
BBD	BANCO BRADESCO-ADR	28213000		1		ADR	
BBOX	BLACK BOX CORPORATION	266642		1		COMMON	
BBY	BEST BUY CO INC	4817		1		COMMON	
BHGE	BAKER HUGHES A GE CO	281316445		1		COMMON	
BIDU	BAIDU INC - SPON ADR	35359385		1		ADR	
BILI	BILIBILI INC-SPONSORED ADR	311571413		1		ADR	
BIOC	BIOCEPT INC	325359991		1		COMMON	
BLCM	BELLICUM PHARMACEUTICALS INC	177292162		1		COMMON	
BLDP	BALLARD POWER SYSTEMS INC	56935150		1		COMMON	
BLIN	BRIDGELINE DIGITAL INC	283766395		1		COMMON	
BLNK	BLINK CHARGING CO	287428879		1		COMMON	
BMY	BRISTOL-MYERS SQUIBB CO	5111		1		COMMON	
BOX	BOX INC - CLASS A	181158987		1		COMMON	
BPI	BRIDGEPOINT EDUCATION INC	59336268		1		COMMON	
BRKS	BROOKS AUTOMATION INC	4727409		1		COMMON	
BRZU	DIREXION DAILY BRAZIL BULL 3	225312848		1		ETF	
BSX	BOSTON SCIENTIFIC CORP	5270		1		COMMON	
BURG	CHANTICLEER HOLDINGS INC	276459696		1		COMMON	
BZH	BEAZER HOMES USA INC	114945521		1		COMMON	
BZUN	BAOZUN INC-SPN ADR	195224544		1		ADR	
C	CITIGROUP INC	87335484		1		COMMON	
CAA	CALATLANTIC GROUP INC	206821636		1		COMMON	
CAG	CONAGRA BRANDS INC	5402		1		COMMON	
CALI	CHINA AUTO LOGISTICS INC	115322931		1		COMMON	
CAPR	CAPRICOR THERAPEUTICS INC	138897409		1		COMMON	
CARV	CARVER BANCORP INC	96971640		1		COMMON	
CASI	CASI PHARMACEUTICALS INC	156093680		1		COMMON	
CAT	CATERPILLAR INC	5437		1		COMMON	
CBI	CHICAGO BRIDGE & IRON CO NV	2730882		1		COMMON	
CDNA	CAREDX INC	160758513		1		COMMON	
CEI	CAMBER ENERGY INC	309001160		1		COMMON	
CELG	CELGENE CORP	267455		1		COMMON	
CGC	CANOPY GROWTH CORP	317033694		1		COMMON	

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
GES	2018-04-02	2018-04-03	2018-04-20	-166,426	0.00	0.00	0.22	-37,445.85	-37,445.85	Po
GES	2018-04-20	2018-04-03	2018-04-20	-166,426	0.00	0.00	0.22	37,445.85	37,445.85	Re
ITUB	2018-10-31	2018-11-01	2018-12-13	-100	0.00	0.00	0.00	-0.39	-0.39	Po
ITUB	2018-11-30	2018-12-03	2019-01-14	-135	0.00	0.00	0.00	-0.53	-0.53	Po
ITUB	2018-11-01	2018-11-01	2018-12-13	-100	0.00	0.00	0.00	0.39	0.39	Re
KMI	2018-10-29	2018-10-30	2018-11-15	-10	0.00	0.00	0.20	-2.00	-2.00	Po
KMI	2018-11-15	2018-10-30	2018-11-15	-10	0.00	0.00	0.20	2.00	2.00	Re
LABU	2018-12-26	2018-12-27	2019-01-04	300	0.00	0.00	0.10	28.86	28.86	Po
MRO	2018-11-19	2018-11-20	2018-12-10	-67	0.00	0.00	0.05	-3.35	-3.35	Po
MRO	2018-12-10	2018-11-20	2018-12-10	-67	0.00	0.00	0.05	3.35	3.35	Re
MSFT	2018-11-13	2018-11-14	2018-12-13	-220	0.00	0.00	0.46	-101.20	-101.20	Po
MSFT	2018-12-13	2018-11-14	2018-12-13	-220	0.00	0.00	0.46	101.20	101.20	Re
NBR	2018-12-11	2018-12-12	2019-01-03	-10	0.00	0.00	0.06	-0.60	-0.60	Po
NVDA	2018-11-28	2018-11-29	2018-12-21	24	0.00	0.00	0.16	3.84	3.84	Po
NVDA	2018-12-21	2018-11-29	2018-12-21	24	0.00	0.00	0.16	-3.84	-3.84	Re
PBR	2018-11-22	2018-11-23	2018-12-13	93	0.00	0.00	0.05	4.95	4.95	Po
PBR	2018-12-10	2018-11-23	2018-12-10	93	0.00	0.00	0.05	-4.95	-4.95	Re
QCOM	2018-12-05	2018-12-06	2018-12-20	23	0.00	0.00	0.62	14.26	14.26	Po
QCOM	2018-12-20	2018-12-06	2018-12-20	23	0.00	0.00	0.62	-14.26	-14.26	Re
SIRI	2018-11-07	2018-11-08	2018-11-30	-110	0.00	0.00	0.01	-1.33	-1.33	Po
SIRI	2018-11-30	2018-11-08	2018-11-30	-110	0.00	0.00	0.01	1.33	1.33	Re
TGT	2018-11-19	2018-11-20	2018-12-10	500	0.00	0.00	0.64	320.00	320.00	Po
TGT	2018-12-10	2018-11-20	2018-12-10	500	0.00	0.00	0.64	-320.00	-320.00	Re
TIF	2018-06-18	2018-06-19	2018-07-10	-45,300	0.00	0.00	0.55	-24,915.00	-24,915.00	Po
TIF	2018-07-10	2018-06-19	2018-07-10	-45,300	0.00	0.00	0.55	24,915.00	24,915.00	Re
V	2018-11-14	2018-11-15	2018-12-04	-10	0.00	0.00	0.25	-2.50	-2.50	Po
V	2018-12-04	2018-11-15	2018-12-04	-10	0.00	0.00	0.25	2.50	2.50	Re
Total					0.00	0.00		27.73	27.73	
Ending Dividend Accruals in USD									27.73	

Financial Instrument Information

Symbol	Description	Contd	Security ID	Multiplier	Type	Code
Stocks						
AA	ALCOA CORP	251962528		1	COMMON	
AABA	ALTABA INC	278946664		1	CLOSED-END FUND	
AAL	AMERICAN AIRLINES GROUP INC	139673266		1	COMMON	
AAPL	APPLE INC	265598		1	COMMON	
AAWW	ATLAS AIR WORLDWIDE HOLDINGS	38505341		1	COMMON	
ABEV	AMBEV SA-ADR	137736417		1	ADR	
ABIL	ABILITY INC	311892904	KYG8789K1242	1	COMMON	
ABT	ABBOTT LABORATORIES	4065		1	COMMON	
ABX	BARRICK GOLD CORP	611060		1	COMMON	
ACAD	ACADIA PHARMACEUTICALS INC	29308796		1	COMMON	

Financial Instrument Information

FSLR	FIRST SOLAR INC	41622169	1	COMMON	
GBR	NEW CONCEPT ENERGY INC	51681579	1	COMMON	
GBT	GLOBAL BLOOD THERAPEUTICS IN	202968426	1	COMMON	
GDS	GDS HOLDINGS LTD - ADR	254032874	1	ADR	
GDX	VANECK VECTORS GOLD MINERS E	229726316	1	ETF	
GE	GENERAL ELECTRIC CO	7516	1	COMMON	
GEMP	GENPHIRE THERAPEUTICS INC	237941262	1	COMMON	
GERN	GERON CORP	4812254	1	COMMON	
GES	GUESST INC	2585309	1	COMMON	
GEVO	GEVO INC	320228879	1	COMMON	
GG	GOLD CORP INC	661460	1	COMMON	
GGB	GERDAU SA -SPON ADR	6607990	1	ADR	
GH	GUARDANT HEALTH INC	335908876	1	COMMON	
GILD	GILEAD SCIENCES INC	269753	1	COMMON	
GLD	SPDR GOLD SHARES	51529211	1	ETF	
GLUU	GLU MOBILE INC	43502818	1	COMMON	
GLYC	GLYCOMMETICS INC	141740375	1	COMMON	
GM	GENERAL MOTORS CO	80986742	1	COMMON	
GME	GAMESTOP CORP-CLASS A	36285627	1	COMMON	
GNC	GNC HOLDINGS INC-CL A	86416739	1	COMMON	
GNE	GENIE ENERGY LTD-B	95980853	1	COMMON	
GOGO	GOGO INC	129481281	1	COMMON	
GOOG	ALPHABET INC-CL C	208813720	1	COMMON	
GOOS	CANADA GOOSE HOLDINGS INC	269515674	1	COMMON	
GPK	GRAPHIC PACKAGING HOLDING CO	49476732	1	COMMON	
GPOR	GULFPORT ENERGY CORP	30211890	1	COMMON	
GPPO	GPPO INC-CLASS A	158249582	1	COMMON	
GRPN	GROUPON INC	97123832	1	COMMON	
GS	GOLDMAN SACHS GROUP INC	4627828	1	COMMON	
GSKY	GREENSKY INC-CLASS A	319099324	1	COMMON	
GTXI	GTX INC	257859808	1	COMMON	
HAL	HALLIBURTON CO	7890	1	COMMON	
HAS	HASBRO INC	754956	1	COMMON	
HBAN	HUNTINGTON BANCSHARES INC	270018	1	COMMON	
HBM	HUDBAY MINERALS INC	38590542	1	COMMON	
HCHC	HC2 HOLDINGS INC	148510778	1	COMMON	
HD	HOME DEPOT INC	7930	1	COMMON	
HEAR	TURTLE BEACH CORP	313490784	1	COMMON	
HIQ	HEALTH INSURANCE INNOVATIO-A	122012356	1	COMMON	
HILT	HILTI INC	257944570	1	COMMON	
HIMNY	HELIOS AND MATHESON ANALYTIC	90277950	1	COMMON	
HIMNY	HELIOS AND MATHESON ANALYTIC	327302597	1	COMMON	
HOME	AT HOME GROUP INC	242717768	1	COMMON	
HPE	HEWLETT PACKARD ENTERPRISE	209411798	1	COMMON	
HPQ	HP INC	209411801	1	COMMON	
HRTX	HERON THERAPEUTICS INC	141832719	1	COMMON	
HSGX	HISTOGENICS CORP	175836062	1	COMMON	

Financial Instrument Information

W	180223P00075000	W 23FEB18 75.0 P	301743583	100	2018-02-23	2018-02	P	75	
W	180223P00080000	W 23FEB18 80.0 P	301743620	100	2018-02-23	2018-02	P	80	
W	180223P00090000	W 23FEB18 90.0 P	301910565	100	2018-02-23	2018-02	P	90	
W	180316C00090000	W 16MAR18 90.0 C	303907405	100	2018-03-16	2018-03	C	90	
W	180329P00075000	W 29MAR18 75.0 P	306701351	100	2018-03-29	2018-03	P	75	
W	180420P00060000	W 20APR18 60.0 P	308149630	100	2018-04-20	2018-04	P	60	
W	180518P00070000	W 18MAY18 70.0 P	289776730	100	2018-05-18	2018-05	P	70	
W	180615C00080000	W 15JUN18 80.0 C	315425941	100	2018-06-15	2018-06	C	80	
W	180720C00105000	W 20JUL18 105.0 C	318664542	100	2018-07-20	2018-07	C	105	
W	180720C00120000	W 20JUL18 120.0 C	318664579	100	2018-07-20	2018-07	C	120	
W	180720C00135000	W 20JUL18 135.0 C	321394652	100	2018-07-20	2018-07	C	135	
W	180720P00100000	W 20JUL18 100.0 P	318664755	100	2018-07-20	2018-07	P	100	
W	180803P00105000	W 03AUG18 105.0 P	322759473	100	2018-08-03	2018-08	P	105	
W	180817C00070000	W 17AUG18 70.0 C	300215489	100	2018-08-17	2018-08	C	70	
W	180817C00150000	W 17AUG18 150.0 C	321158936	100	2018-08-17	2018-08	C	150	
W	180824C00133000	W 24AUG18 133.0 C	326284592	100	2018-08-24	2018-08	C	133	
W	181116C00150000	W 16NOV18 150.0 C	320982552	100	2018-11-16	2018-11	C	150	
WATT	180119C00025000	WATT 19JAN18 25.0 C	251660728	100	2018-01-19	2018-01	C	25	
WTTW	180518C00080000	WTTW 18MAY18 80.0 C	311337877	100	2018-05-18	2018-05	C	80	
WTTW	180525C00080000	WTTW 25MAY18 80.0 C	314029656	100	2018-05-25	2018-05	C	80	
WTTW	180608C00082500	WTTW 08JUN18 82.5 C	318037199	100	2018-06-08	2018-06	C	82.5	
WTTW	180615C00088000	WTTW 15JUN18 88.0 C	318674842	100	2018-06-15	2018-06	C	88	
WTTW	180615C00090000	WTTW 15JUN18 90.0 C	315437016	100	2018-06-15	2018-06	C	90	
WTTW	180622C00100000	WTTW 22JUN18 100.0 C	318037358	100	2018-06-22	2018-06	C	100	
WTTW	180720C00100000	WTTW 20JUL18 100.0 C	306327744	100	2018-07-20	2018-07	C	100	
WWE	180615C00060000	WWE 15JUN18 60.0 C	318162726	100	2018-06-15	2018-06	C	60	
WWE	180720C00070000	WWE 20JUL18 70.0 C	318162862	100	2018-07-20	2018-07	C	70	
WWE	180817C00080000	WWE 17AUG18 80.0 C	322207661	100	2018-08-17	2018-08	C	80	
WWE	180817P00075000	WWE 17AUG18 75.0 P	322207793	100	2018-08-17	2018-08	P	75	
X	180309C00047000	X 09MAR18 47.0 C	304504724	100	2018-03-09	2018-03	C	47	
X	180329P00033000	X 29MAR18 33.0 P	306328578	100	2018-03-29	2018-03	P	33	
X	180420P00030000	X 20APR18 30.0 P	286757176	100	2018-04-20	2018-04	P	30	
XOM	180427P00078000	XOM 27APR18 78.0 P	310011403	100	2018-04-27	2018-04	P	78	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election

Codes		
Code	Meaning	
AEX	Automatic exercise for dividend-related recommendation.	LT
Adj	Adjustment	Lo
AI	Allocation	M
Aw	Away Trade	Mex
B	Automatic Buy-In	ML
Bo	Direct Borrow	MLG
C	Closing Trade	MLL
CD	Cash Delivery	MSG
CP	Complex Position	MSL
Ca	Cancelled	O
Co	Corrected Trade	P
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	PI
ETF	ETF Creation/Redemption	PO
EP	Resulted from an Expired Position	Pr
Ex	Exercise	R
G	Trade in Guaranteed Account Segment	RED
HC	Highest Cost tax basis election	Re
HFI	Investment Transferred to Hedge Fund	Ri
HFR	Redemption from Hedge Fund	SI
I	Internal Transfer	SL
IA	This transaction was executed against an IB affiliate	SO
INV	Investment Transfer from Investor	SS
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	ST
L	Ordered by IB (Margin Violation)	T
LD	Adjusted by Loss Disallowed from Wash Sale	

Notes/Legal Notes

Notes

- Most stock exchange transactions settle on the trade date plus two business days. Options, futures and US open-end mutual fund transactions settle on trade date plus one business day. (Some exchanges and other transaction types may have longer or shorter settlement periods.) Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Interactive Brokers LLC receives compensation from fund companies in connection with the purchase and holding of fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees that are paid out of the funds assets. The source and amount of any remuneration received by Interactive Brokers LLC in connection with a transaction will be furnished upon written request of the customer. IB may share a portion of the compensation received from fund companies with your financial advisor or introducing broker.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Trade execution times are displayed in Eastern Time.
- Applicable commodity Regulatory Fees for your transactions are available on the IB website at www.interactivebrokers.com/en/accounts/fees/exchangeAndRegulatoryFees.php.
- Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
- Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.

Notes/Legal Notes

1. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. Additional information about your retail Forex transactions is available in Account Management, including information required under NFA Rule 2-36(o) about retail Forex trades in the same currency pair as any retail leveraged Forex transaction you executed in your IB account. We can provide this information for trades executed within the 15 minutes immediately before and after your trade. This information is available for a period of 6 months after your trade.

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder, unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IB Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this statement, or in your account to Interactive Brokers and to Minibroker International, Ltd. using the contact information below. If you report an error by phone, you should re-confirm such oral communication in writing in order to protect your rights, including rights under the Securities Investor Protection Act (SIPA).**

Interactive Brokers LLC, www.interactivebrokers.com, 1-877-442-2757 (U.S.)

Minibroker International, Ltd, www.minibroker.com, 242-328-7800

2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IB is not a direct clearing member or custodian, IB may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; ABN Amro Clearing Singapore Pte. Ltd.; ABN Amro Clearing Sydney Pty Ltd.; BNY Barometer, S.A.; BNP Securities Services; Milan Branch; BMO Harris Bank N.A.; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB; Skandinaviska Enskilda Merchant Banking, Oslo Branch.
3. IB acts as agent or riskless principal in foreign currency exchange transactions. Such transactions are executed against an IB affiliate or a third party, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction. Foreign currency exchange transactions executed by Customer through IB are not regulated or overseen by the SEC or the CFTC.
4. Trades marked with the exchange indicator of TMBR were effected by IB as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
6. IB accepts liquidity rebates or other order flow payments from Alternative Trading Systems, market makers and exchanges for certain orders in stocks. IB receives payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. To the extent permissible under exchange rules, IB may direct customer option orders for execution against its market making affiliate Timber Hill LLC, which acts as principal and may earn a profit or incur a loss. The source and nature of any compensation received by IB in connection with any transaction is available upon written request of the customer. For further information, check the IB website and the Order Routing and Payment for Order Flow Disclosure provided when you opened your account and annually or email help@interactivebrokers.com.
7. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IB is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
8. Customer is requested to promptly advise Minibroker International, Ltd of any material change in Customer's investment objectives or financial situation.
9. Interactive Brokers LLC, member Securities Investor Protection Corporation (SIPC), provides execution, clearing and carrying services for your account pursuant to an agreement with Minibroker International, Ltd. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.
10. A financial statement of Interactive Brokers LLC is available for your personal inspection at www.interactivebrokers.com or at its offices, or a copy of it will be mailed upon your written request.
11. For trades executed on either the Australian Stock Exchange Ltd ("ASX") or Chi-X Australia Pty Ltd ("Chi-X"), this confirmation is issued subject to: (i) the directions, decisions and requirements of the Market Operator, the relevant Market Integrity Rules, the relevant Market Operating Rules, the clearing Rules and where relevant, the Settlement Rules; (ii) the customs and usages of the relevant Market; and (iii) the correction of errors and omissions. Interactive Brokers LLC (ABN: 091191741, AFSL: 245374, Participant on Chi-X Australia (IB) is not a participant on the ASX, and will route orders to the ASX through its affiliate, Timber Hill Australia Pty Ltd (ABN: 26079993534, AFSL: 244380, Participant on the ASX) ("THA") who executes such orders. Your ASX and Chi-X Australia trades will be cleared through ABN Amro Clearing Sydney Pty Ltd, an ACH Participant. If your transaction was a crossing transaction, IB may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IB enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
12. For All Options Trades Executed on the Stock Exchange of Hong Kong ("SEHK"): (a) Options can involve a high degree of risk and may not be suitable for every investor. Investors should ensure they understand those risks before participating in the options market. (b) All options contracts executed on the SEHK were executed by Timber Hill Securities Hong Kong on behalf of Interactive Brokers LLC. (c) In the event of a default committed by Interactive Brokers LLC resulting in the client suffering any pecuniary loss, the client shall have a right to claim under the Investor Compensation Fund established under the Hong Kong Securities and Futures Ordinance, subject to the terms of the Investor Compensation Fund from time to time. (d) All Exchange Traded Options Business made for or on behalf of a client shall be subject to the relevant provisions of the constitution, Rules of the Stock Exchange of Hong Kong Limited ("SEHK Rules"), regulations, the Articles, customs and usages of SEHK, the Options Trading Rules, the Clearing Rules of SECOH, the CCASS Rules and the laws of Hong Kong, which shall be binding on both Interactive Brokers LLC and the client.
13. Deposits held away from Interactive Brokers LLC may not qualify under SIPC protection. Also, futures and options on futures are not covered by SIPC.

SIPC Member